



6/10/94

UNITED STATES
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

A. W. [Signature]

| | | | |
|--------------------|-------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|

06/238,405 06/05/94 CAPON

P CELL 5.3

RECEIVED JUN 21 1994 #3

03A1/0613

SARALYNN MANDEL
CELL GENESYS, INC.
322 LAKESIDE DRIVE
FOSTER CITY, CA 94404

0000

DATE MAILED: 06/13/94

NOTICE TO FILE MISSING PARTS OF APPLICATION

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH** THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$_____ for large entities or \$_____ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a large entity, small entity (verified statement filed), is \$_____.

Applicant is given ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this application, WHICHEVER IS LATER, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. The statutory basic filing fee is: missing insufficient. Applicant as a large entity small entity, must submit \$_____ to complete the basic filing fee.
2. Additional claim fees of \$_____ as a large entity, small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. The oath or declaration:
 - is missing.
 - does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature to the oath or declaration is: missing; a reproduction; by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7. The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$_____ under 37 CFR 1.17(k), unless this fee has already been paid.

8. A \$_____ processing fee is required for returned checks. (37 CFR 1.21(m)).

9. Your filing receipt was mailed in error because check was returned without payment.

10. The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825. 03-1184 140 205 65.00CH

11. Other.

Direct the response and any questions about this notice to Application Processing, Special Processing and Correspondence Branch (703) 308-1202.

A copy of this notice MUST be returned with the response.

COPY TO BE RETURNED WITH RESPONSE



PATENT
ATTORNEY DOCKET NO: CELL 5.3

"Express Mail" Label No. TB32785180X60

Date of Deposit September 9, 1994

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Susan M. Moran

(Type or print name of person mailing paper or fee)

Susan M. Moran

(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------------|---|----------------|
| In re application of: |) | Art Unit: 1812 |
| |) | |
| Capon et al. |) | Examiner: Wang |
| |) | |
| Serial No.: 08/238,405 |) | |
| |) | |
| Filed: May 5, 1994 |) | |
| |) | |
| Title: Chimeric Chains for |) | |
| Receptor-Associated Signal |) | |
| Transduction Pathways |) | |
| |) | |

TRANSMITTAL LETTER

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-captioned patent are three (3) signed Assignments with cover-sheets.

The Commissioner is authorized to charge the required fees and any additional fees which may be required to Cell Genesys, Inc.'s Deposit Account 03-1184. Two duplicate copies of this sheet are enclosed.

Respectfully submitted,

CELL GENESYS, INC.

Date: 9/9/94

By: Lucy J. Billings
Lucy J. Billings
Reg. No. 36,749

CELL GENESYS, INC.
322 Lakeside Drive
Foster City, CA 94404
415-358-9600 ext. 201



PATENT
ATTORNEY DOCKET NO. CELL 5.3

"Express Mail" Serial No. TB32785180XUS

Date of Deposit September 9, 1994

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Susan M. Moran

(Type or print name of person mailing paper or fee)

Susan M. Moran

(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Capon et al.) Art Unit: 1812
)
Serial No: 08/238,405) Examiner: Wang
)
Filed: May 5, 1994)
)
For: *Chimeric Chains for*)
Receptor-Associated Signal)
Transduction Pathways)
)

SUBMISSION OF MISSING PARTS

Hon. Commission of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed June 13, 1994, enclosed herewith are:

1. Declaration and Power of Attorney - three (3) signed copies
2. Signed Verified Statement Claiming Small Entity Status (37 C.F.R. 1.9(f) and 1.27(c)) - NonProfit Organization
3. Required Nucleotide Sequencing on paper
4. Required Nucleotide Sequencing on disk
5. Amendment Under 37 C.F.R. §§1.821-1.825 directing entry of sequencing into the specification.
6. One copy of the "Notice to File Missing Parts"
7. Request for Two-Month Extension of Time

The Commissioner is authorized to charge the required fees and any additional fees which may be required to Cell Genesys, Inc.'s Deposit Account 03-1184. Two duplicate copies of this sheet are enclosed.

Respectfully submitted,

Date: 9/9/94
By: Lucy J. Billings
Lucy J. Billings
Reg. No. 36,749

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Foster City, CA 94404
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